

Full Business Case appraisal:

Organisation name:	
Project name:	
Date FBC submitted:	

<b>Project description</b>

	2018/19	2019/20	2020/21	TOTAL
Loan sought from the LEP				£0
Grant sought from the LEP				£0
Total project cost				£0
<b>Intervention rate</b>				<b>#DIV/0!</b>

	2018/19	2019/20	2020/21	TOTAL
Jobs created or safeguarded				0
New homes built				0
Match-funding secured				£0

Strategic dimension	Score awarded	Score as percentage	RAG rating
	17	68%	AMBER

Summarise the appraisal findings relating to the strategic dimension below and justify the overall RAG rating.

--

Questions or issues for Board to explore:

i)
ii)
iii)

Economic dimension	Score awarded	Score as percentage	RAG rating
	28	70%	GREEN

Summarise the appraisal findings relating to the economic dimension below and justify the overall RAG rating.

Questions or issues for Board to explore:

- i)
- ii)
- iii)

Financial dimension	Score awarded	Score as percentage	RAG rating
	22	63%	AMBER

Summarise the appraisal findings relating to the financial dimension below and justify the overall RAG rating.

Questions or issues for Board to explore:

- i)
- ii)
- iii)

Commercial dimension	Score awarded	Score as percentage	RAG rating
	19	48%	RED

Summarise the appraisal findings relating to the commercial dimension below and justify the overall RAG rating.

Questions or issues for Board to explore:

- i)
- ii)
- iii)

Management dimension	Score awarded	Score as percentage	RAG rating
	8	32%	RED

Summarise the appraisal findings relating to the management dimension below and justify the overall RAG rating.

Questions or issues for Board to explore:

i)

ii)

iii)

Overall score:	94
Overall percentage:	56%
Automatic recommendation:	Consider approval: scored between 51% and 69%

**Appraisal recommendation**

**Justification for recommendation:**

**Are there any conditions that need to be met before a Funding Agreement should be issued? This could include due diligence on areas including the legal entity of the applicant, their financial status or the relationship between any partners. If so please list them below.**

i)

ii)

iii)

iv)

v)

**Do you recommend that any conditions are included within the Funding Agreement? If so please list them below.**

i)

ii)

iii)

iv)

v)

<b>If the application is deferred what additional information is required?</b>
--

i)
----

ii)
-----

iii)
------

iv)
-----

v)
----

Appraisal completed by:	
-------------------------	--

Company:	
----------	--

Date appraisal completed:	
---------------------------	--

Full Business Case appraisal:

Organisation name:	
Project name:	
Date FBC submitted:	

Stage 1 - What is the opportunity and/or challenge being addressed?	Please refer to...	Score awarded						Justification for awarded score
		5	4	3	2	1	0	
How clearly is the challenge and/or opportunity articulated and supported by evidence?	Q1.1	5						
How well supported is the need to address the challenge and/or opportunity by national and/or local policy?	Q1.3			3				
How effectively does the proposed project link to other activity in the LEP area?	Q2.6			3				
How extensive is the consultation undertaken by the applicant with relevant stakeholders and potential beneficiaries?	Q1.4	5						
How strong is the logic that addressing the challenge and/or opportunity will deliver benefits compared to a do-nothing scenario?	Qs 1.2 & 1.5					1		
Initial score		17						
Weighting		1						
Strategic dimension score		17						

Stage 2 - How could the opportunity and/or challenge be addressed?	Please refer to...	Score awarded						Justification for awarded score
		5	4	3	2	1	0	
How relevant are the identified Critical Success Factors that the applicant has used to score options?	Q2.1						0	
How effective and thorough is the analysis of three options? Have costs and output estimates been provided?	Q2.2			3				
How well supported is the applicant's assertion that the preferred option is the most effective approach to addressing the challenge and/or opportunity?	Qs 2.3 & 2.4			3				
Initial score		6						
Weighting		1						
Economic dimension (Part I) score		6						

Stage 3 - How much will the project cost to deliver?	Please refer to...	Score awarded						Justification for awarded score
		5	4	3	2	1	0	
How well developed are the costs and the assumptions that underpin them?	Annex Tab 1a & 1b		4					
How confident are you that the budget for the costs is appropriate?	Annex Tab 1b			3				
How secure is the match-funding?	Q3.2	5						
If the project has on-going costs and income how thorough and appropriate does the cashflow forecast appear?	Annex Tab 2	5						
If the project seeks a grant how robust is the argument that a loan is not appropriate?	Q3.5				2			
To what extent will the LEP's financial support affect the project's scale, timing and/or quality?	Q3.6				2			
How effectively has the applicant identified and mitigated financial risks?	Q3.8					1		
Initial score		22						

Weighting		1						
Financial dimension score		22						
Stage 4 - What tasks need to be completed to deliver the project?	Please refer to...	Score awarded						Justification for awarded score
		5	4	3	2	1	0	
How extensive is the identification and timing of milestones? Has the applicant provided a timeline for securing any outstanding consents and permissions?	Qs 4.1 & 4.2						0	
How confident are you that the applicant will manage the potential for slippage in milestones?	Q4.3						0	
How clear and appropriate is the proposed procurement approach?	Q4.5				2			
To what extent does the applicant demonstrate that they will successfully manage any contractual relationships?	Q4.6				2			
How effectively has the applicant identified and mitigated non-financial risks?	Q4.7			3		1		
How confident are you that the applicant has a State Aid compliant approach?	Q3.7		4					
How strong is the evidence to support the market demand justification for this project?	Qs 2.4 & 3.4		4					
To what extent do you think that the project is commercially feasible?	Qs 2.4 & 3.4 & Annex Tab 2			3				
Initial score		19						
Weighting		1						
Commercial dimension score		19						
Stage 5 - How will the project be managed?	Please refer to...	Score awarded						Justification for awarded score
		5	4	3	2	1	0	
What level of relevant skills and experience do the key individuals have that will manage the project?	Q5.1				2			
How effective does the overall project management approach appear? Are accountability lines clear?	Q5.2				2			
How much confidence is built that the team will deliver the project to time and budget?	Q5.3		4					
Initial score		8						
Weighting		1						
Management dimension score		8						
Stage 6 - What benefits will the project deliver?	Please refer to...	Score awarded						Justification for awarded score
		5	4	3	2	1	0	
To what extent does the project deliver Local Growth Fund outputs, or other economic outputs?	Q6.1 & Annex Tab 4		4					
How confident are you that the forecast level of economic outputs are realistic?	Q6.2 & Annex Tab 4		4					
To what extent does the project contribute to good growth?	Q6.3	5						
To what extent does the project deliver economic, environmental or social outcomes?	Q6.4	5						
Considering the range of outputs and outcomes delivered, how strong is the overall Value for Money case?	Qs 6.1 6.3, 6.4 & 6.6		4					
Initial score		22						
Weighting		1						
Economic dimension (Part II) score		22						

# York, North Yorkshire and East Riding Enterprise Partnership



## Recommended tolerances:

If monthly or quarterly reports from the sponsor show that the project is exceeding these tolerances then approval needs to be sought that the LEP agree to this change and will issue a variaton to the Funding Agreement.

<b>Cost:</b>	
<b>Timescales:</b>	
<b>Outputs:</b>	

## Agreed cost table

Capital costs	2018/19				2018/19 total	2019/20				2019/20 total	2020/21				2020/21 total	Grand total
	Q1	Q2	Q3	Q4		Q1	Q2	Q3	Q4		Q1	Q2	Q3	Q4		
	April to June 2018	July to September 2018	October to December 2018	January to March 2019		April to June 2019	July to September 2019	October to December 2019	January to March 2020		April to June 2020	July to September 2020	October to December 2020	January to March 2021		
					£0					£0					£0	£0
					£0					£0					£0	£0
					£0					£0					£0	£0
					£0					£0					£0	£0
					£0					£0					£0	£0
					£0					£0					£0	£0
					£0					£0					£0	£0
					£0					£0					£0	£0
					£0					£0					£0	£0
<b>Total capital cost</b>	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0

Funding sources	Funding category	Funding status	2018/19				2018/19 total	2019/20				2019/20 total	2020/21				2020/21 total	Grand total
			Q1	Q2	Q3	Q4		Q1	Q2	Q3	Q4		Q1	Q2	Q3	Q4		
			April to June 2018	July to September 2018	October to December 2018	January to March 2019		April to June 2019	July to September 2019	October to December 2019	January to March 2020		April to June 2020	July to September 2020	October to December 2020	January to March 2021		
LEP funding	Public	Awaiting confirmation					£0					£0					£0	£0
Other funding (please name)							£0					£0					£0	£0
Other funding (please name)							£0					£0					£0	£0
Other funding (please name)							£0					£0					£0	£0
Other funding (please name)							£0					£0					£0	£0
<b>Total funding</b>			£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0

Check - does the total capital cost match the total funding?



# Agreed output and outcome tables

## Table 1: Government outputs:

**Table 1 is compulsory** and must be completed by all applicants showing how yo project's economic, environmental and/or social outputs.

Theme	Output
Core outputs	Jobs created or safeguarded
	Apprenticeships
Housing	Housing Units completed
	Number of new homes with new or improved fibre optic provision
Commercial	Commercial floorspace created
	Commercial floorspace refurbished
	Commercial floorspace occupied
	Commercial Broadband Access
Business & enterprise	Number of enterprises receiving grant support
	Number of enterprises receiving financial support other than grants
	Number of enterprises receiving non financial support
Flood risk	Area of Land with reduced likelihood of flooding as a result of the project
	Reduced Flood Risk Homes
	Reduced Flood Risk Commercial
Skills	Area of new or improved learning/training floorspace
	Prior Estate Grade
	Post Completion Estate Grade
	Floorspace rationalisation
	Number of New Learners Assisted (in courses leading to a full qualification)
	Specialist equipment
	Non-specialist equipment
Transport	Length of Road Resurfaced
	Length of Newly Built Road this period
	Length New Cycle Ways This Period

## Table 2: Non-Government outputs:

**This table is compulsory if your project does not deliver any outputs in Tab project's impact, and provide them here.**

Output
Please name the output that we can measure
Please name the output that we can measure
Please name the output that we can measure
Please name the output that we can measure
Please name the output that we can measure
Please name the output that we can measure
Please name the output that we can measure
Please name the output that we can measure
Please name the output that we can measure
Please name the output that we can measure
Please name the output that we can measure

**Table 3: Outcomes:**

**This table is compulsory** and we seek details of any economic, environmental a outcomes that can be used to evaluate your project's impact.

Indirect outputs and outcomes
Please name the outcome that could be used to evaluate your project
Please name the outcome that could be used to evaluate your project
Please name the outcome that could be used to evaluate your project
Please name the outcome that could be used to evaluate your project
Please name the outcome that could be used to evaluate your project

ur project will contribute to the outputs set by Government. If your does not deliver any c

Measure	2018/19				2019/20		
	Q1	Q2	Q3	Q4	Q1	Q2	Q3
	April to June 2018	July to September 2018	October to December 2018	January to March 2019	April to June 2018	July to September 2018	October to December 2018
Number							
Number							
Number							
Number							
Metres squared							
Metres squared							
Metres squared							
Number of premises							
Number							
Number							
Number							
Metres squared							
Number of homes							
Number of properties							
Metres squared							
A,B,C,D as graded by surveyor							
Metres squared							
Number							
Type							
£							
Type							
£							
Kilometres							
Kilometres							
Kilometres							

le 1. We also recommend that projects that do deliver Government outputs also consider

Measure	2018/19				2019/20		
	Q1	Q2	Q3	Q4	Q1	Q2	Q3
	April to June 2018	July to September 2018	October to December 2018	January to March 2019	April to June 2018	July to September 2018	October to December 2018
Please specify							
Please specify							
Please specify							
Please specify							
Please specify							
Please specify							
Please specify							
Please specify							
Please specify							

and/or social












